



FILE COPY

Receipt

10269/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Timothy M. KEISER et al.
Serial No.: 09/465,607
Filed : December 17, 1999
For : COMPUTER IMPLEMENTED SECURTIES TRADING SYSTEM
WITH A VIRTUAL SPECIALIST FUNCITON

Application Processing Division's Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

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Group 2700

REQUEST FOR CORRECTED FILING RECEIPT

S I R:

Please correct the filing receipt to include the following omission:

--Continuing Data as Claimed by Applicant

This application claims the benefit of U.S. continuation application number

09/184,571, filed November 2, 1998, which is a continuation-in-part of U.S. Patent No.

5,950,176, issued September 7, 1999.--

A copy of the filing receipt with the changes noted thereon is enclosed.

Issuance of a corrected filing receipt is respectfully requested.

Respectfully submitted,

Dated: 06/30/00

Katrine A. Levin
Katrine A. Levin
Reg. No. 41,941
BROWN RAYSMAN MILLSTEIN
FELDER & STEINER LLP
120 West Forty-Fifth Street
New York, New York 10036

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to:
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Angela R. Rathjiff

07/03/2000
Date

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Bib Data Sheet

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER 09/465,607	FILING DATE 12/17/1999 RULE -	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. 10269/13
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APPLICANTS
TIMOTHY M. KEISER, LOS ANGELES, CA ;
MICHAEL R. BURNS, LOS ANGELES, CA ;
YES CG

**** CONTINUING DATA *******
THIS APPLICATION IS A CON OF 09/184,571 11/02/1998 *Y*
WHICH IS A CIP OF 08/620,906 03/25/1996 PAT 5,950,176

**** FOREIGN APPLICATIONS *******
None CG

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 02/02/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged <i>CG RAHM</i> Examiner's Signature	<i>CG</i> Initials			

ADDRESS
BROWN RAYSMAN MILLSTEIN
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~~120 WEST 45TH STREET~~ *900 Third Avenue*
~~NEW YORK, NY 10038~~ *10022*

TITLE
COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION

FILING FEE RECEIVED 380	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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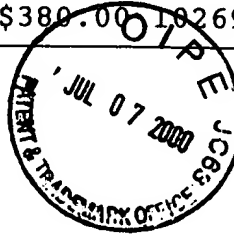
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/465,607	12/17/99	2768	\$380.00	10269/13	4	19	3

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120 WEST 45TH STREET
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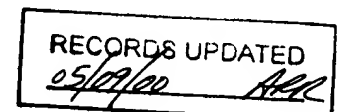
Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TIMOTHY M. KEISER, LOS ANGELES, CA; MICHAEL R. BURNS,
LOS ANGELES, CA.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/02/00 ** SMALL ENTITY **
TITLE
COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION

PRELIMINARY CLASS: 705

-- Continuing Data as Claimed by Applicant
This application claims the benefit of U.S. Continuation application
number 09/184,571, filed November 2, 1998, which is a continuation-
in-part of U.S. Patent No. 5,950,176, issued September 7, 1999. --



DATA ENTRY BY: ANISTEAD, KIRK

TEAM: 04 DATE: 02/02/00

(See reverse for new important information)